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European Clearinghouse: Report on Ageing Related Events

*Summary Report of an
European Clearinghouse
Topical Study*

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LIST OF ACRONYMS

AM	Ageing Management
BWR	Boiling Water Reactor
CCF	Common Cause Failures
DBA	Design Basis Accident
ECCS	Environmental Qualification
EDG	Emergency Diesel Generator
EFWS	Emergency Feedwater System
ENER	Directorate General for Energy (European Commission)
ESFAS	Engineered Safety Feature Actuation System
EU	European Union
FAC	Flow-Accelerated Corrosion
GALL	Generic Ageing Lessons Learned
GRS	Gesellschaft für Anlagen- und Reaktorsicherheit mbH
HELB	High Energy Line Break
I&C	Instrumentation and Control
IAEA	International Atomic Energy Agency
IGALL	International Generic Ageing Lessons Learned
IGSCC	InterGranular Stress Corrosion Cracking
IE	Institute for Energy and Transport
INES	International Nuclear Event Scale
IRS	International Reporting System for Operating Experience jointly operated by IAEA and OECD/NEA
IRSN	Institut de Radioprotection et de Sûreté Nucléaire
ISI	In-Service Inspection
JRC	Joint Research Centre
LER	US Licensee Event report
LOCA	Loss of Coolant Accident
LR	License Renewal
LWR	Light Water Reactors
MOV	Motor Operated Valve
NPP	Nuclear Power Plant
NRC	US Nuclear Regulatory Commission
OECD/NEA	Nuclear Energy Agency
OE	Operating Experience
OEF	Operating Experience Feedback
PWR	Pressurized Water Reactor
PSA	Probabilistic Safety Analysis
SC	Structure and Component
SSC	Structures, Systems and Components
SCC	Stress Corrosion Cracking
TGSCC	TransGranular Stress Corrosion Cracking
TOER	Topical Operational Experience Report

1 INTRODUCTION

Ageing management at nuclear power plants should be proactive so that to timely detect the ageing degradations, and propose corrective actions to prevent structures, systems and components important to safety from ageing related faults. In order to manage the effect of ageing effectively, there are various plant programmes available which provide guidance on ageing management of active and passive components.

Passive components are subject to ageing management review in order to identify ageing related degradations for given material, environment, stressors and operating loads. A comprehensive ageing management re-view is also required either for license renewal [1] or periodic safety review [2] of nuclear power plants.

Active components which perform their intended functions with moving parts or with a change in con-figuration are subject to preventive maintenance and replacement based on qualified life or specified time period; therefore the ageing management review is typically not performed. Instead, the plant maintenance programmes are established that should be able to detect timely the component degradation before it fails.

The plant maintenance and ageing management programmes aim at early detection of component ageing degradations; in an ideal case, there should not be that many ageing related event reports. Despite the plant efforts to handle the ageing of structures and components important to safety, a review of operating experience worldwide shows that there is still number of events reported that were caused by ageing related faults of systems and components to perform their intended functions.

This Summary Report presents the results of a comprehensive study [3] performed by the European Clearinghouse on Operating Experience Feedback of NPP with the support of IRSN (Institut de Sûreté Nucléaire et de Radioprotection) and GRS (Gesellschaft für Anlagen und Reaktorsicherheit mbH). This study addresses physical ageing mechanisms¹ of Structures, Systems, and Components (SSC) such as wear, tear, fatigue, corrosion, oxidation, loss of material strength, loss of insulation resistance, loss of elasticity (or other potentially significant ageing mechanism) are the main focus of this study. Obsolescence, although it is important issue too, has currently not been included in this report. It may be addressed as specific subject in some future Clearinghouse Topical studies.

2 IDENTIFICATION OF RELEVANT EVENTS

A selection of ageing related events was performed through searching the IRSN, GRS, US NRC Licensees Event Reports and IAEA/NEA databases. Each database has its own reporting criteria, structure, and search tools. The identification of ageing related events was performed individually for each individual database. A time span of 20 years (1999-2009) offered several hundreds of events identified as ageing relevant for detailed evaluation. The analysis of operating experience (OE) also aimed to find answers on the following topics:

- What is the proportion of ageing related events from among all reported events,
- What are the most frequently represented components in ageing related events,

¹ Generic ageing considerations such as the reactor pressure vessel embrittlement, generic aspects of cable ageing, etc. are typically covered in ageing lessons learned were not included the scope of this Study.

- What are the common degradation mechanisms,
- What are the consequences of ageing related events,
- What are the important lessons learned.

Total of 1125 events were identified from all databases as ageing related. This number appears to be small comparing to the large number of all reported events (~20000); we have to assume however that not all ageing related events were identified (search by guide words may not always provide full result).

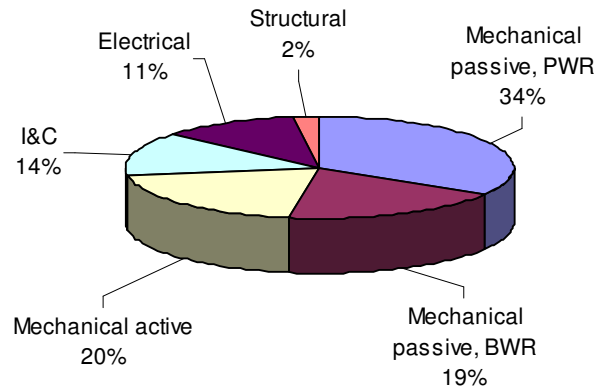


Fig.1. Component groups represented in ageing related events

Proportion of individual component group in ageing related events is shown on Fig.1. As it can be seen, the passive mechanical components represent the biggest group among the ageing related events, active mechanical component group also represent non negligible contribution. Most of the ageing related events of electrical and I&C component group involved active components; only few passive components reported representing electrical and instrumentation cables. There were very few events reported on structures.

3 RESULTS OF EVALUATION

3.1 General findings

Evaluation of ageing related operating experience identified several challenging issues and acknowledged important lessons learned. The ageing related events, in many cases, involved equipment important to safety, challenged performance of their intended functions, or have lead to unanticipated plant transients such as a reactor trip or actuation of the plant engineered safety features.

The causes of ageing degradations associated with specific component group were determined mainly on passive components; it is a standard approach because the active components are subject to regular maintenance. The excessive wear was identified as dominant ageing degradation mechanism of the active components. It is important to mention that thermal ageing of lubricant or incorrect use of lubricant has significantly contributed to excessive wear.

The ageing degradation is typically latent and sometimes difficult to detect on time. The plant has typically set of specific programmes that help managing the ageing degradations of passive and active Structures and Components (SC). Although the main objective of these programmes is to timely detect any ageing degradation and provide set of

preventive measures, in some cases SSC failures could not have been avoided.

The operating experience also shows that the ageing related events may result in common cause failures (CCF). For example, CCF associated with ageing of electrical equipment (cables, batteries, circuit breakers, capacitors, transformers, etc.) may result in failure of the entire component group. CCF failures generally develop slowly; in some cases it is quite difficult to detect them before the fault occurs.

The ageing can be effectively controlled if proper monitoring, maintenance, surveillance is in place, and certain equipment important to safety is subject to environmental qualification requirements. The environmental qualification generates testing or analytical evidence to ensure that equipment important to safety will meet performance requirements for the entire equipment design life, considering the anticipated in-service ageing.

3.2 Component specific findings

3.2.1 Passive mechanical components

Typical representatives of passive mechanical components identified in ageing related events were pipe works of reactor coolant system pressure boundary (main and auxiliary), steam generator tubes, steam generator collectors (VVER), steel vessels, heat exchangers tubes and flanges (particularly tubes of closed cooling water heat exchangers), valve and pump housings, core internals, such as core barrel / baffle bolts and fuel assembly alignment pins.

Different types of corruptions and fatigues were dominant ageing degradation mechanisms identified for passive mechanical components. For primary pressurised components, more than half of reported events were associated with corrosion problems, and one third associated with fatigue degradations. A stress corrosion cracking (SCC) was identified as major degradation mechanism for austenitic steel components at PWR and BWR.

3.2.2 Active mechanical components

Ageing related failures of motor and air operated valves were dominant contributors in this commodity group. Pumps (turbine and electrical driven), and diesel engines were also represented. It was particularly wear that was identified as a frequent ageing effect in mechanical component moving parts, but also fatigue, corrosion, and polymers/thermal ageing of various rubber and elastomer sealing materials used in mechanical components as seals, and gaskets. Timely replacement or repair of mechanical parts of these components typically restore the component functions and eliminates ageing degradations.

Several events occurred due to a lubricant (thermal) degradation, which caused the excessive wear, sticking of mechanical parts, or inoperability of the entire mechanical components. Three basic factors control lubricant degradation: The service time, the operating temperature, and the lubricant contamination. Time and temperature are directly related. The effective life of a lubricant can be extended when equipment is operated at moderate operating temperatures; contrary the effective time is reduced when equipment is operated at severe operating temperatures such as long-term engine operation at high loads or with high-sulphur fuel.

3.2.3 I&C components

Most frequently reported I&C components that failed due to ageing were electronic cards, relays, electrolytic capacitors, batteries, small power units, and switches. There were very few cases identified that involved passive I&C components, i.e. instrumentation cables.

Typical ageing degradation mechanisms associated with I&C components were loss of insulation resistance, loss of capacity, set point drift, wear (relays), etc. There were many cases that the ageing degradation mechanism was not identified, especially for electronic cards. It is believed that electrical failures (some may be ageing related) of certain small electronic components such as resistors, diodes, and electrolytic capacitors could be the cause.

Growing whiskers were identified to have caused in-advertent electrical conductive connections and lead to malfunctions or short circuits on the affected I&C components. Tin whiskers are electrically conductive mono crystals which can grow out of tinnier surfaces even when they are coated. They reach lengths of several millimeters and in rare cases up to 10 millimeters. Considering a number of sensitive components installed in different systems important to safety, the increase rate of failure could impact the overall plant risk profile. A common cause failure of more than one train or multiple inter-system failures may also occur.

3.2.4 Electrical components

This component group involved mainly active electrical components that failed due to ageing degradation. Typical representatives of this group are electrical circuit breakers, electro motors (windings), transformers (bushing, winding), large electrolytic capacitors in uninterruptible power supply modules (UPS), and relay (coils, springs). Only few cases reported failures of electrical cables (passive component) that failed due to thermal ageing which eventually resulted in physical disintegration – fracture of insulation.

The ageing degradation mechanisms associated with electrical component group were loss of insulation resistance, loss of capacity, fracture toughness (el. cables). The “loss of insulation resistance” which was reported for electrical motor and transformer windings, electrical cables and relay coils was a dominant ageing degradation mechanism identified.

The loss of capacity was identified as dominant ageing degradation of large electrolytic capacitors which are used e.g. in UPS. Determination of electrolytic capacitor's life i.e., to find out when the capacitor loses its electrical properties and needs to be replaced, appears to be a challenging issue. Despite regular surveillance, there were cases reported that electrolytic capacitor failed shortly after inspection.

The excessive wear was identified on internal parts in several electrical circuit breakers; the cause of excessive wear was inadequate lubrication, or lubricant ageing. It shows how important the preventive maintenance of electrical circuit breakers is in order to provide for timely inspection as well as replacement of lubricant. This type of failure is typical CCF which could involve same electrical circuit breakers in redundant trains.

For number of active electrical component failures the exact ageing degradation mechanism was not identified; with this regard the identification of ageing degradation mechanisms for active components, which are subject to replacement on a time specified interval, is not (always) required; it is easier to replace the faulted component on timely basis (unless it can run to failure) as required by corresponding preventive maintenance programme.

4 LESSONS LEARNED

4.1 Generic lessons learned

The evaluation of ageing related events performed within the framework of this study identified the following lessons learned:

1. The evaluation of operating experience is an applicable and powerful tool to identify important safety issues and changes in the reliability of SSC due to ageing.
2. The operating experience shows that ageing related events are not the dominant contributors among reported events and there are no increasing trends in frequency of ageing related events, neither in operating period, nor in reactor ages.
3. The proportion of ageing related events in the overall events reported depends also on event reporting criteria and practice which varies in countries operating nuclear power plants. This fact mainly explains the existing differences in the estimated event frequencies, proportions of degradation mechanisms and contributing components.
4. In some cases, a different operating experience can be related to the same equipment but different design and material used. A typical example is the use of nickel base alloys in steam generator tubes which are susceptible to stress corrosion cracking. This led to a number of events occurred in steam generator tubes in France but not in Germany where the steam generator tubes are made from different material.

The evaluation of ageing related OE based on the information gained from the event reports was associated with the following difficulties:

- In many cases, additional information such as the design features of the affected structure and component, the prevailing operating loads and conditions would have been required complementary to those in the event reports so that to properly evaluate the SSC ageing behaviour.
- Not all ageing related events appear to be reported; actually the reported events are just the “tip of the iceberg”. In addition a set of data originating from maintenance activities would have allowed more precise analysis of the SSC ageing behaviour. This is evident particularly for I&C components.
- The evaluation of operating experience seems to be insufficient particularly for environmentally qualified electrical and instrumentation cables whose failures have to be avoided even under harsh environmental conditions at the end of their qualified life.

A flowchart on Fig. 3-1 illustrates some important elements in the chain of ageing related events. In order to manage the SSC ageing within acceptable limits, i.e. to prevent sudden component failures or event recurrences, the following factors and practices may be considered:

- a) Environmental stressors and operating loads: OE shows that proper understanding of operating loads and environmental stressors is important already during the SSC design phase; it will certainly help setting properly design criteria for each structure and component so that it is able to withstand anticipated operational loads and conditions. Certainly, it is not possible to eliminate the ageing factors of SSC, there will always be an ageing degradation present; however, the initial

design should account for sufficient safety margins with regard to anticipated service life and in-service ageing. This is to ensure that SSC will preserve their intended functions during the course of its service life.

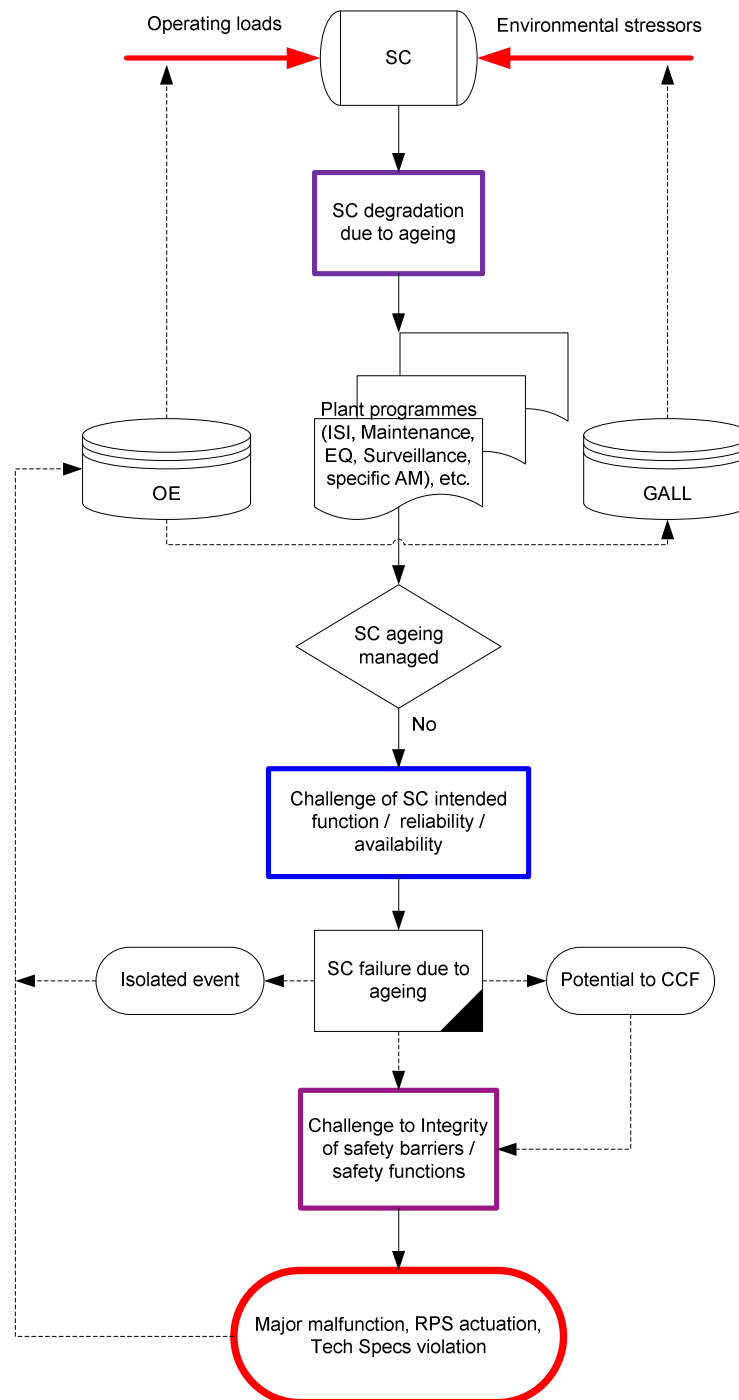


Fig. 3-1 Factors having impact on ageing related events

- b) Identification and management of ageing effects: The design itself however, cannot anticipate all the operating loads and conditions which SSC may withstand

during their service life. Therefore, the plant programmes for passive and active components shall be in place to provide for regular monitoring of system and component performance, as well as provide for timely detecting the ageing related degradations.

- c) The ageing of long lived passive structures and components is typically managed through the ageing management programmes. The ageing management review described in [1,2], and which is performed either for license renewal or periodic safety reviews is a recommended practice to provide reasonable assurance that the effects of ageing will be managed for the period of operation.
- d) The active components which perform their intended functions with moving parts or with a change in configuration should be subject to preventive maintenance and replacement based on qualified life or specified time period. The plant maintenance programmes and practices as described in [5, 6] is a recommended practice to provide reasonable assurance that the effects of ageing will be managed for the period of operation.
- e) Identification of ageing effects associated with SC provides important feedback to a library of environmental stressors, degradation mechanisms for given material, environments, and operating loads, etc. and helps assessing the effectiveness of existing plant programmes.
- f) The plant surveillance programmes (performance and reliability monitoring, periodical tests, in-service inspections, maintenance, replacement, etc.) contributes significantly to detecting the ageing related degradations of SSC in timely manner.
- g) The ageing events typically occurs when certain ageing related degradation of SSC is neither identified in time, nor maintenance and surveillance is adequately performed, nor the components are replaced on specified time intervals.

The consequences of ageing related events may have local impact (single component failure), may lead to the undesired plant transients, or may challenge performance of safety functions (e.g. CCF).

4.2 Specific lessons learned associated with particular component group

4.2.1 Passive mechanical components (pressure pipes)

Passive mechanical components can be characterized as highly reliable and in many cases "difficult to replace" components. It is supposed that once installed they should operate without replacement and renewal up to the end of their design lifetime. Consequently, there is no preventive maintenance performed, only performance monitoring and In-service Inspection (ISI). However, the failure of a passive mechanical component could have an important consequence to plant safety as it could lead to initiating events, transients with large load reductions or loss of safety functions. In particular degradation of RCS pressure boundary for LWR has to be avoided or mitigated.

It has to be ensured that any relevant ageing effects such as cracking or wall thinning occurs. For this reason, suitable monitoring measures have to be taken in order to identify loads which were not foreseen in the design, and sufficient in-service inspections are required in order to early identify any degradation such as cracking or wall thinning.

Various corrosion mechanism and fatigue contribute to events affecting passive mechanical components. In many cases, ageing related events were identified by non-destructive examinations, i.e. before component failures occurred.

A thermal stratification or mixing of hot and cold water can cause alternating loads with the result of thermal fatigue. If operating loads are not foreseen in the design, they can cause leakage up to a full break of the affected passive mechanical components such as pressure pipes. In fact, thermal fatigue has caused non-isolable primary coolant leakage in auxiliary pipes connected to the primary coolant in several plants worldwide.

A local temporary temperature changes relevant to fatigue should be monitored by appropriate systems in order to control degradation due to thermal fatigue in safety-relevant pipe sections.

A Trans Granular Stress Corrosion Cracking (TGSCC), which is caused when austenitic steel gets in contact with Chlorides, can develop to component failure, if not detected in time. Components made from austenitic steel are generally not in the primary focus of recurrent ISI. This is true particularly for austenitic steel base metal of the pressurized pipe components, as most inspections are restricted to welds only. The chloride induced TGSCC was rarely detected in the course of the regular ISI programmes in the past. Most of the degradations were found either by the detection of leakage or in the course of unrelated maintenance activities, or due to supplementary inspections in response to information notices or operating experience feedback.

In order to minimize the chloride induced TGSCC it is important to avoid any contact of chlorides with austenitic steel components. The evaporation of coolant in partially filled stagnant systems may be sufficient to accumulate enough chlorides to induce TGSCC. Systems containing stagnant, two-phase coolant, where evaporation and concentration of chlorides might take place, should be identified in order to be considered in the ISI programme, as well as appropriate procedures to maintain water chemistry should be implemented in all plants.

4.2.2 Active mechanical components

Due to the fact that active components are repairable and, in general, replaceable in a time specific intervals, the event investigation process does not (always) focus on identification and characterization of a specific ageing degradation mechanism.

A single failure of an active mechanical component in normal operation could have an impact to NPP performance, as it could lead to a transient. However, a safety impact of such types of failures is limited. A single failure of an active component in safety systems may also lead to loss of redundancy, but not to the loss of safety function. Safety significant events with the failure of active components are usually a combination of failures and / or additional factors, such as human errors or procedure deficiencies.

Thermal ageing of organic and polymer sub-components in air operated valves is a typical case of ageing degradation of component exposed to harsh environment. A development of ageing mechanism in combination with inappropriate maintenance practice has led to failures of active components and impact the plant performance and safety.

4.2.3 Electrical components

Typical degradation mechanisms associated with electrical components that were identified in event reports were loss of insulation resistance (motor, transformer winding), loss of physical properties (cables), wear (circuit breakers), electrical failure, etc. The degradation is mainly detected within the framework of performance monitoring, periodical tests and preventive maintenance, by means of visual inspection, non-destructive examination or functional tests. In most of the cases, immediate replacement of failed equipment or spare parts was the preferred corrective action.

Operating experience showed that component parts which were supposed not to be sensitive for ageing related degradation were also involved. Electrical circuit breakers were found sensitive to ageing degradations; in number of cases the ageing degradation of electrical circuit breaker internal part caused its failure. To prevent malfunctions in circuit breakers due to ageing degradation, appropriate maintenance programmes are required which cover all relevant internal (moving) parts, including quality and quantity of lubricant so that the ageing degradation can be detected in a timely manner. Ageing issues associated with electrical circuit breaker points on limits associated with evaluation of operating experience based just on event reports. In order to properly identify ageing degradations, more detailed data on component failures, operating times and stressors, as well as on applied maintenance strategy are needed.

A potential for common cause failures due to the ageing of electrical components is an important issue to consider. A risk of inter-system CCF could become a safety concern during the plant operation.

4.2.4 I&C components

Ageing issues associated with failure of electronic cards together with electrolytic capacitors belongs to the most represented cases in I&C commodity group. In particular, a whiskers formation on electronic boards was identified as a characteristic ageing mechanism affecting I&C components. The whiskers formation can result in malfunctions or short circuits of electronic cards. Surveillance programmes should contain appropriate preventive inspection measures to identify whisker formation. In addition to improvement of surveillance and monitoring, the design upgrades of sensitive components which could eliminate whisker formation and consequent progression could be also considered as an effective solution.

A loss of capacity is a typical ageing degradation mechanism for electrolytic capacitors. Degradation of electrolytic capacitor is not always easy to identify. Therefore, electrolytic capacitors shall be replaced on a specified time interval, given by the manufacturer.

Other degradation mechanisms observed in the evaluation of ageing related events were electrical failure, loss of insulation resistance, setpoint drift, and wear. Sometimes the degradation mechanism itself was not specified in the report.

4.2.5 Structural components

Just a few events affecting structural components were identified. Ageing degradation in structural components, such as concrete and steel reinforcement, is normally a comparably slow process. Therefore, careful visual inspection of structures at suitable intervals may be sufficient for most of these components. However, it is important to keep in mind that inappropriate inspection durations extend the period that degradation in a structural component can be undetected, allowing further progression such that it may impact its operability.

4.3 Risk significance

The evaluation of operating experience shows that ageing related faults and malfunctions mostly affected the plant process control systems, which belong to the plant normal operation system. This is more or less expected result because the number of equipment involved in these systems is much bigger, and safety classification and related qualification requirements are lower than for those safety related systems which resulted in the plant transients causing reactor trip or actuation of engineered safety systems, large power reduction and challenged a heat removal function (e.g. trip of reactor coolant and

main feedwater pump, turbine trips, turbine bypass malfunctions, set point drift of main steam safety valves, etc.). These events are potentially safety significant as well.

A single failure of mechanical, electrical and I&C component has a limited impact to the plant safety. Safety significant events usually caused a combination of failures and / or additional factors, as human errors or procedure deficiency. A potential for CCF due to ageing is an important issue to consider. A risk of inter-system CCF could become a safety concern during the plant operation.

Large number of ageing related degradations was detected during the plant walk downs, inspections and surveillance testing; this is a positive finding. The ageing related faults detected during the surveillance testing also resulted in non-conformance with the plant Technical Specifications; in some cases this lead to a forced reactor shut down.

5 RECOMMENDATIONS

Based on lessons learned from the ageing related events, the following recommendations can be made:

- The ageing degradations of passive mechanical, electrical and I&C components should be managed by proper maintenance or ageing management programmes. Attributes as well as a format and content of generic ageing management programme can be found in [6]. These programmes should have a defined scope, monitoring methods to detect effects of ageing, acceptance criteria, and provisions for corrective actions as well as quality and documentation attributes. Application of ageing management programmes is a basis method to check the equipment fitness to service.
- The In-service inspection (ISI) programme is typical ageing management programme; it provides important source of data for ageing management allowing reassessment of SSC during the life time of the plant. The ISI results should be properly documented and regularly evaluated in order to augment the understanding of component behavior and load conditions of the components.
- Non-destructive test methods, equipment and personnel that are credited in ISI programmes must be qualified according to national standards, regulatory requirements, and international recommendations.
- The active mechanical, electrical and I&C components which perform intended functions with moving parts or with a change in configuration should be subject to replacement based on qualified life or specified time period.
- The results of maintenance programmes for active mechanical components should be regularly evaluated and optimized when results show undesirable trends in equipment failures requiring corrective maintenance. The preventive maintenance programmes or introduction of condition based maintenance approach is highly recommended.
- The operating conditions of active and passive components should be further optimized in order to minimize the environmental stressors. In some cases, a protection against environmental stressors (e.g. thermal, radiation shielding) should be implemented.
- Certain electrical and I&C components important to safety which are subject to

environmental qualification requirements have a qualified life which is determined by the design, testing, analysis, and operating experience. The equipment qualification status should be preserved mainly through surveillance, maintenance, modification and replacement control, environment and equipment monitoring. The equipment shall be replaced before its qualified life expires, or it shall be re-qualified in accordance with special procedures.

- Ageing related operating experience associated with the plant SSC may significantly be improved if the re-reporting criteria contain specific "ageing" key words. Currently, most of the ageing related issues remain with the plant departments and industry.
- Results of R&D should be regularly evaluated and implemented in order to better understand the ageing degradation mechanisms and contributing factors.

6 CONCLUSION

Ageing lessons learned generally provides important feedback to the plant programmes and practices that are intended to manage the ageing degradations of SSC at nuclear power plants.

The analysis of operating experience has shown that proportion of ageing related events is relatively low. However, their consequences, as well as their impact to the plant safety and reliability do not have to be negligible. A thorough investigation of ageing related operational events provides for valuable information and understanding what the causes of ageing degradation are.

The event report itself however, does not always allow identifying what the (ageing) problem was; maintenance data are required for the analysis as well. Maintenance data are an important element for more precise analysis of the ageing phenomena.

A time-dependent analysis of component reliability data provides important insights on whether there are any ageing related trends associated with SSC at nuclear power plants. Sufficient sample of reliability data is however needed in order to provide for representative and credible results.

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Abstract

A physical ageing mechanism of SSC such as wear, tear, fatigue, corrosion, oxidation, loss of material strength, loss of insulation resistance, loss of elasticity (or other potentially significant ageing mechanism) are the main focus of this study. The analysis of ageing related events involved operating experience reported by NPP operators in France, Germany, USA and to IAEA/NEA International Reporting system, on operating experience for the past 20 years (i.e. 1990-2009). A list of ageing related events was populated. Each ageing related event contained in the list was analyzed; results of analysis were summarized for each component groups for which the ageing degradation appeared to be a direct cause. The most common degradation mechanisms / ageing effects for each specific component / commodity group, their risk significance and consequences to the plant performance are described.

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